

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sollenberger et al.)

Serial No.: 09/833,433)

Filed: April 12, 2001)

For: Personalized Intranet Portal

Group Art Unit: 2152

Examiner:

RECEIVED

FEB 05 2002

Technology Center 2100

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

☒ **A. PTO-1449**

☐ **B. CERTIFICATION**

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

☐ **C. \$180.00 FEE PAYMENT**

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

☐ **D. \$130.00 PETITION, CERTIFICATION & PETITION FEE
PAYMENT**

(37 C.F.R.1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in compliance with
37 CFR 1.98:

☒ **I.** A copy of each listed U.S. Patent [1.98(a)(2)(i)].

☐ **II.** A copy of each listed foreign patent and/or published foreign patent
application. For each listed foreign patent and/or published foreign patent
application [1.98(a)(2)]:

☐ a) the English language version or an English translation if not
published in English, if available (see PTO-1449)[1.98(a)(3)];
and/or

☐ (b) U.S. counterpart patents or English language equivalents of the
foreign patents or published foreign patent applications (see PTO-
1449); and/or

- ☐ (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
- ☐ III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
 - ☐ (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
 - ☐ (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- ☐ IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- ☐ V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].

This information is submitted in the above-identified application in compliance with 37 C.F.R.1.97 because:

- ☒ 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- ☐ 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).

☐ 6. This information disclosure is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:

☐ I, _____, hereby state the following:

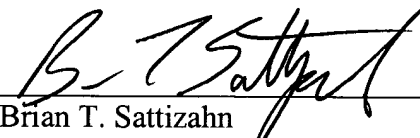
☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.

☐ 7. This information disclosure statement is submitted with the fee of \$240 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. _____. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).

☐ 8. In addition to the above statement, this information disclosure is submitted with a petition for consideration and petition fee of \$130 required by 1.17(i)(1) (see items 4 and 5 above). Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,


Brian T. Sattizahn
Reg. No. 46,401

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

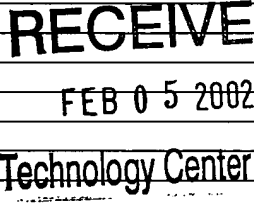
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Sheet	1	of	2
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Complete if Known

Application Number	09/833,433
Filing Date	04-12-2001
First Named Inventor	SOLLENBERGER et al.
Group Art Unit	2152
Examiner Name	
Attorney Docket Number	13DV-13926

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,761,662		Dasan	06-02-1998	<div style="text-align: center;">  </div>
	AB	5,899,995		Millier et al.	05-04-1999	
	AC	5,933,827		Cole et al.	08-03-1999	
	AD	5,983,214		Lang et al.	11-09-1999	
	AE	5,987,430		Van Horne et al.	11-16-1999	
	AF	6,006,265		Rangan et al.	12-21-1999	
	AG	6,009,429		Greer et al.	12-28-1999	
	AH	6,023,701		Malik et al.	02-08-2000	
	AI	6,026,433		D'Arlach et al.	02-15-2000	
	AJ	6,032,182		Mullen-Schultz	02-29-2000	
	AK	6,038,668		Chipman et al.	03-14-2000	
	AL	6,055,542		Nielsen et al.	04-25-2000	
	AM	6,076,166		Moshfeghi et al.	06-13-2000	
	AN	6,115,709		Gilmour et al.	09-05-2000	
	AO	6,128,601		Van Horne et al.	10-03-2000	
	AP	6,161,112		Cragun et al.	12-12-2000	
	AQ	6,163,794		Lange et al.	12-19-2000	
	AR	6,167,383		Henson	12-26-2000	
	AS	6,189,024	B1	Bauersfeld et al.	02-13-2001	
	AT	6,195,679	B1	Bauersfeld et al.	02-27-2001	

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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